

FORM PTO-1390 (REV 10-2000) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		25 OCT 2001 ATTORNEY'S DOCKET NUMBER HCM-019US
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C.371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/030237</div>
INTERNATIONAL APPLICATION PCT/GB01/01012	INTERNATIONAL FILING DATE 28 February 2001 (28.02.01)	PRIORITY DATE CLAIMED 2 March 2000 (02.03.00)
TITLE OF INVENTION APPARATUS FOR DERIVING ENERGY FROM WAVES		
APPLICANT(S) FOR DO/EO/US John Frederick KEMP		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C.371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unexecuted) (3 pages) 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11. to 16. below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (2 sheets) with Form PTO-1449 (1 sheet). 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment (3 sheets) (including Version with Markings to Show Changes Made). <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: Transmittal Letter (2 sheets in duplicate); Certificate of First Class Mailing (1 sheet); Copy of International Published Application No. WO 01/65112 A1 (15 sheets); and Return Postcard. 		

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/030237</div>		INTERNATIONAL APPLICATION NO. PCT/GB01/01012		ATTORNEY'S DOCKET NO. HCM-019US	
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17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) .(a/o November 1, 2000): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1000 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.455(a)(2)) paid to USPTO\$710 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100 <div style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>	CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; padding: 2px;">\$860</div>																									
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	<div style="border: 1px solid black; padding: 2px;">\$130</div>																									
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:20%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:40%;">RATE</th> </tr> <tr> <td>Total claims</td> <td style="text-align: center;">15 -20</td> <td style="text-align: center;">0</td> <td style="text-align: center;">X \$18.00</td> </tr> <tr> <td colspan="2" style="text-align: center;">=</td> <td></td> <td></td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">1 -3 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">X \$80.00</td> </tr> <tr> <td colspan="2" style="text-align: center;">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td></td> <td style="text-align: center;">+ 270.00</td> </tr> <tr> <td colspan="3" style="text-align: center;">TOTAL OF ABOVE CALCULATIONS =</td> <td style="text-align: center;">\$990</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	15 -20	0	X \$18.00	=				Independent claims	1 -3 =	0	X \$80.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 270.00	TOTAL OF ABOVE CALCULATIONS =			\$990	<div style="border: 1px solid black; padding: 2px;">\$0</div>	
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TOTAL OF ABOVE CALCULATIONS =			\$990																							
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	<div style="border: 1px solid black; padding: 2px;">\$0</div>																									
SUBTOTAL =	<div style="border: 1px solid black; padding: 2px;">\$495</div>																									
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	<div style="border: 1px solid black; padding: 2px;">\$0</div>																									
TOTAL NATIONAL FEE =	<div style="border: 1px solid black; padding: 2px;">\$495</div>																									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +	<div style="border: 1px solid black; padding: 2px;">\$0</div>																									
TOTAL FEES ENCLOSED =	<div style="border: 1px solid black; padding: 2px;">\$495</div>																									
	Amount to be: refunded	\$																								
	charged	\$																								

a. ☒ A check in the amount of \$495.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit
 any overpayment to Deposit Account No. **12-0080**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
Anthony A. Laurentano, Esq.
LAHIVE & COCKFIELD, LLP
 28 State Street
 Boston, Massachusetts 02109
 United States of America
 Telephone: (617) 227-7400

SIGNATURE
Anthony A. Laurentano

NAME
38,220

REGISTRATION NUMBER